| <b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee or | TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION | V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?  If yes, complete and attach Schedule V.  No X | IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?  If yes, complete and attach Schedule IV. | III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?  If yes, complete and attach Schedule III. | II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?  If yes, complete and attach Schedule II.                        | I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?  Yes No No   | PRELIMINARY INFORMATION — ANSWER EACH OF THESE | Annual (May 16, 2011)  Amendment                 | Filer       X       Member of the U.S.       State:       M.A.       Officer or Employee         Status       House of Representatives       District:       1.0       Employee | Name: William Richard Keating Daytime | UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT |
|---|--|--|--|---|--|--|--|--|--|---|---------------------------------------|--|
| nsactions, or liabilities of a spouse or dependent child because with the Committee on Ethics.  | nd certain other "excepted trusts" need not be dis   | — A  | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response  | IX. Did you have any reportable agreement or arrangement with an outside entity?  If yes, complete and attach Schedule IX.  | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?  If yes, complete and attach Schedule VIII.  | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?  If yes, complete and attach Schedule VII. | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?  If yes, complete and attach Schedule VI. | SE QUESTIONS                                   | Termination Date:                                | or Employing Office:  | Daytime Telephone: 202 225 3111       | Form A<br>For use by Members, officers, and employees                                    |
| child because Yes   | sclosed. Have you Yes  | NSWER EACH OF THESE QUESTIONS                        | tion in this part must be answered and the schedule attached for each "Yes" response.  | arrangement with Yes  | or before the date Yes   | d receive any in the reporting Yes e)?   | d receive any<br>gregating more Yes  |  | against anyone who flies more than 30 days late. | A \$200 penalty shall be assessed   | HAND DELIV  (Office Use Only)         | Page 10 LEGISLATIVE RESOURCE CENTER 2011 MAY 16 AM 11: 50                                |
| X<br>X  | No<br>X  | SNC  | and the sponse.  | N <sub>O</sub>  | S  | No X   | No<br>X  |  | les more man                                     | be assessed   | AND DELIVERED                         | Page 1 of <u>.(.!</u><br>E CENTER<br> : 50   |

Name William Ridnard Keating Page 2 of 11

### SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

| Source  | Туре                                      | Amount  |
|---|---|---------|
|   | Approved leaching ree Legislative Pension | \$9,000 |
| Civil War Houndiable (Oct. 2nd) Ontario County Board of Education | Spouse Salary                             | NA NA   |
| Common wealth of Massachusetts                                    | District Attachery Salon                  | 148 843 |
| Γ   | Retirement Payment                        | 4,690   |
|   |   |         |
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|   | Name William |
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Page 3 of 1

# SCHEDULE II—PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

| Source                 | Activity | Date          | Amount  |
|------------------------|----------|---------------|---------|
| _                      | Speech   | Feb. 2, 2010  | \$2,000 |
| Examples: XYZ Magazine | Article  | Aug. 13, 2010 | \$500   |
|                        |          |               |         |
|                        |          |               |         |
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#### 잌 the end of the reporting period, and (b) any other Identify (a) each asset held for investment or production income with a fair market value exceeding \$1,000 at Asset and/or Income Source **BLOCK A**

not use ticker symbols.) more than \$200 in "unearned" income during the year. ment accounts which are not self-directed, provide only account that exceeds the reporting thresholds. For retireinvestments), provide the value for each asset held in the the power, even if not exercised, to select the specific plans) that are self-directed (i.e., plans in which you have For all IRAs and other retirement plans (such as 401(k) Provide complete names of stocks and mutual funds (do reportable asset or sources of income which generated

vide a complete address For rental or other real property held for investment, provalue at the end of the reporting period.

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the name of the institution holding the account and its

tion in Block A. For an ownership interest in a privately-held business that is not publicly traded, state the name of the busiaccounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift ing \$5,000 or less in a personal checking or saving income during the reporting period); any deposits totalhomes and vacation homes (*unless* there was rental Exclude: Your personal residence, including second ness, the nature of its activities, and its geographic loca-

asset is sold, portion of an

income source is that of y child (DC), or is jointly he you so choose, you r

please refer to the instruc For a detailed discussion optional column on the fa

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Examples:

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#### Value of Asset

BLOCK B

please specify the method used. method other than fair market value, reporting year. If you use a valuation Indicate value of asset at close of

generated income, the value should be year and is included only because it If an asset was sold during the reporting ing the reporting period.

Type of Income BLOCK C

that generate tax-deferred income retirement accounts that do not allow Check all columns that apply. For may check the "None" column. (such as 401(k) plans or IRAs), you you to choose specific investments <u>or</u>

if the asset generated no income durdisclosed as income. Check "None" gains, even if reinvested, must be Dividends, interest, and capital

you to choose specific investments or For retirement accounts that do not allow

as income. Check "None" if no income even if reinvested, must be disclosed checking the appropriate box below. indicate the category of income by as 401(k) plans or IRAs), you may check was earned or generated Dividends, interest, and capital gains, the "None" column. For all other assets, that generate tax-deferred income (such Amount of Income ₹ < BLOCK D ≤ ≦ ¥ ≂ × × \$1,000 in If only a sales (S), or purchases (P) exceeding exchanges asset had Indicate if the reporting year **Fransaction** BLOCKE Î

| Portfelio                             | time portation | 2006 529<br>Port #1:0 | is credit Union | * | canton St. | t Bank of Paducah, KY Accounts | mon & Schuster | ega Corp. Stock | s.  The property material property from the pusiness led, state the name of the business led, state the name of the busined, state the name of the busined the property of the pusine citivities, and its geographic local interest in, or income derived a personal checking or saving cial interest in, or income derived and program, including the Thrift may indicate that an asset or fyour spouse (SP) or dependent releft.  The program of Schedule III requirements, uction booklet. |
|---------------------------------------|----------------|-----------------------|-----------------|---|------------|--------------------------------|----------------|-----------------|---|
| ×                                     |                | ×                     |                 |   |            |                                |                |                 | None  |
|                                       |                |                       |                 |   |            | L                              | ₹              |                 | \$1 - \$1,000   |
|                                       | ļ              |                       | <b></b>         | ļ |            |                                | Indefinite     |                 | \$1,001 – \$15,000  |
|                                       |                |                       | ×               |   |            |                                | ē              |                 | \$15,001 <b></b> \$50,000   |
|                                       |                |                       | ļ               | ļ |            | ļ_                             |                | ×               | \$50,001 - \$100,000  |
|                                       | ×              |                       | ļ               | ļ | *          | ×                              |                |                 | \$100,001 \$250,000   |
|                                       |                |                       | ļ               |   |            | _                              |                |                 | \$250,001 - \$500,000   |
|                                       |                |                       |                 | - |            | L                              |                |                 | \$500,001 \$1,000,000   |
|                                       |                |                       | ļ               | × |            | _                              |                |                 | \$1,000,001 - \$5,000,000   |
|                                       |                |                       | <u> </u>        | ļ |            | L                              |                |                 | \$5,000,001 - \$25,000,000  |
|                                       |                |                       |                 | 1 |            |                                |                |                 | \$25,000,001 - \$50,000,000   |
|                                       |                |                       |                 |   |            | _                              |                |                 | Over \$50,000,000   |
|                                       |                |                       |                 |   |            |                                |                |                 | NONE  |
|                                       |                |                       |                 |   |            | _                              |                | ×               | DIVIDENDS   |
| · · · · · · · · · · · · · · · · · · · |                |                       |                 | × | ×          | ×                              | 1              |                 | RENT  |
|                                       |                | ×                     | ×               | - |            | ┡                              | }              |                 | INTEREST  |
| メ                                     | <u> </u>       |                       | ļ               |   |            | -                              | :<br>!         | ×               | CAPITAL GAINS   |
|                                       |                |                       |                 |   |            |                                | Royalties      |                 | Other Type of Income (Specify: e.g., Partnership Income or Farm Income)   |
| L                                     | ×              |                       | ļ               |   |            | ļ                              |                |                 | None  |
|                                       |                |                       |                 | ļ |            |                                |                |                 | \$1 - \$200   |
|                                       |                |                       | ×               |   |            | _                              | -              |                 | \$201 – \$1,000   |
| ×                                     | _              | ×                     | ļ               | ļ | ļ          |                                |                | ×               | \$1,001 - \$2,500   |
|                                       | 9              |                       |                 | * | 844        |                                | -              | -               | \$2,501 - \$5,000   |
|                                       |                |                       |                 | - | ×          | ×                              |                |                 | \$5,001 - \$15,000  |
|                                       |                |                       |                 | 1 |            | _                              | 1              |                 | \$15,001 – \$50,000   |
|                                       | ļ              |                       | · · ·           |   |            | -                              | ×              |                 | \$50,001 - \$100,000  |
|                                       |                |                       |                 | - |            |                                |                |                 | \$100,001 - \$1,000,000   |
|                                       |                | <u> </u>              | ļ               | 1 | <u> </u>   | -                              | -              |                 | \$1,000,001 ~ \$5,000,000   |
| 50                                    | P,5 (par       | 6                     |                 |   |            |                                |                | S (partial)     | over \$5,000,000  asset is sold, please indicate as follows: (S) (partial) See below for example.  P, S,  |
|                                       | نځ             |                       |                 |   |            |                                |                |                 |   |

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SCHEDULE III-ASSETS AND "UNEARNED" INCOME

|             |              |  |  |  |  |                |              |                               |                 |              |  |                    |                     |  |                          |            |                        |          |                   |  | SP,<br>DC,   |                                    | Cont<br>SC <del>+</del>        |
|-------------|--------------|--|--|--|--|----------------|--------------|-------------------------------|-----------------|--------------|--|--------------------|---------------------|--|--------------------------|------------|------------------------|----------|-------------------|--|--|------------------------------------|--------------------------------|
| Value Index | Brown Global | s Stlest Exorty                                  | بز   | F 🥐  | ۲.<br>ح  | Copides Appris | TT()         | Calvo bis Acorn International | International V | + Gx Balance | Aris) Appreciation Fund                          | Walls Farge Common | Fidelity Growth Co. | Fidulity Found                                   | Fidelity Law Price Stock | e<br>As    | Fidelity Wording Flord | ! .      | MASS MUNICIPALITY | Conservation Portions                            |  | BLOCK A Asset and/or Income Source | Continuation Sheet (if needed) |
|             |              |  |  |  |  |                |              |                               |                 |              |  |                    |                     |  |                          |            |                        | <u> </u> |                   |  | None ➤   | ·                                  | ئے ا                           |
|             |              |  |  | ļ  | <u> </u>   | 1              | \            | <u> </u>                      | _               |              |  | -                  |                     | _  | <u> </u>                 | <b>.</b>   |                        | *        | <u>~</u>          |  | \$1 - \$1,000  |                                    | ΙŽ                             |
|             |              | <u> </u>   | ļ  | <u>بر</u>  | <u> </u>   | ×              | ļ            | <u> </u>                      | ×               | ×            | ×  | ļ                  | .,                  | ~  | <u> </u>                 | ×          | ×                      | ļ        | <u> </u>          | ļ  | \$1,001 – \$15,000 O   |                                    | "                              |
| ۲           | ¥            | ×  |  | _  | ×  |                | ×            | >                             | _               |              |  | ×                  | ×                   | _  | ×                        |            |                        | _        | <u> </u>          | ×  | \$15,001 - \$50,000  | <b>V</b> a                         | [                              |
|             |              | ļ  | ×  | ļ  | <u> </u>   | _              | <u> </u>     | <u> </u>                      | ļ               | ļ            | <u> </u>   |                    |                     | ļ  |                          | ļ <u>.</u> |                        | <u> </u> | ļ                 |  | \$50,001 - \$100,000 m   | BLOCK B Year-End Value of Asset    | 1 7                            |
|             |              | <u> </u>   | <u> </u>   | <u> </u>   |  | 1_             |              | L                             |                 | ļ            |  |                    |                     |  | <u>L</u>                 |            |                        | <u> </u> |                   |  | \$100,001 <b>- \$2</b> 50,000 TI   | BLOCK B Year-End ue of As:         | ן כ                            |
|             |              |  |  |  |  |                |              |                               |                 |              |  |                    |                     | L_   | L                        | <u></u>    |                        |          |                   |  | \$250,001 – \$500,000 ଦ  | <b>★</b> ☆☆                        | =                              |
|             |              |  |  |  | L  |                |              |                               |                 |              |  |                    |                     |  |                          |            |                        |          |                   |  | \$500,001 \$1,000,000  | ss and                             | 2                              |
|             |              |  |  |  |  |                |              |                               |                 |              |  |                    |                     |  |                          |            |                        |          |                   |  | \$1,000,001 - \$5,000,000 -  | ) <u>p</u>                         | ) (                            |
|             |              |  |  |  |  |                |              |                               |                 |              |  |                    |                     |  |                          |            |                        |          |                   |  | \$5,000,001 - \$25,000,000 <sup>c</sup> -  |                                    |                                |
|             |              |  |  |  |  |                |              |                               |                 |              |  |                    | _                   |  |                          |            |                        | Π        |                   |  | \$25,000,001 - \$50,000,000  |                                    | "                              |
|             |              |  |  |  |  | T              |              |                               |                 |              |  |                    |                     |  |                          |            |                        |          |                   |  | Over \$50,000,000  |                                    | 1                              |
|             |              | y  | >  |  |  |                |              |                               |                 |              |  |                    | ×                   | メ  | ×                        | ×          | ×                      |          | ×                 |  | NONE   |                                    | 1                              |
| ×           | ×            | <del>                                     </del> |  | *  | 7  | \ <b>y</b>     | ×            | >                             | ×               | ×            | ×  | ×                  |                     | ľ  |                          |            |                        |          | Ī-                |  | DIVIDENDS  |                                    |                                |
|             |              |  |  |  | $\vdash$   | ✝              |              | <u> </u>                      | Ť-              | <del> </del> | <del> </del>                                     |                    |                     | <del>                                     </del> | <u> </u>                 | 1          | ┢                      | 1        | 1                 | $\vdash$   | RENT   | 1                                  |                                |
|             |              | <del>                                     </del> | $\vdash$   | <del>                                     </del> | <del>                                     </del> | $\top$         | <del> </del> | 1                             | T               |              | <del>                                     </del> | T                  |                     | <del>                                     </del> | -                        |            | <u> </u>               | 72       |                   | <u> </u>   | INTEREST   | ٥                                  |                                |
|             | <del></del>  |  | <del>                                     </del> | $\vdash$   | <b></b>  | ╁              | 1            | +                             | $\dagger$       | †            |  | <u></u>            |                     | 1  | <u> </u>                 |            |                        |          | -                 | <del>                                     </del> | CAPITAL GAINS  | BLOCK C Type of Incom              |                                |
|             | _            | <del>                                     </del> |  | <b>†</b>   | -  | †              | $\dagger$    | -                             | <b>-</b>        |              | <del>                                     </del> |                    |                     | -  | 1                        |            | $\vdash$               |          | <del> </del>      | <u> </u>   | EXCEPTED/BLIND TRUST   | BLOCK C Type f Incon               |                                |
|             |              |  |  |  |  |                |              |                               |                 |              |  |                    |                     |  |                          |            |                        |          |                   |  | Other Type of Income<br>(Specify: e.g.,<br>Partnership Income or<br>Farm Income) | ome                                | Name William Richard           |
| ×           | ス            | 火  | ×  | メ  | ソ  | ×              | X            | ×                             | ×               | ×            | ×  | ×                  | ×                   | ×  | ×                        | ×          | ×                      |          | ×                 | ×  | None -   |                                    |                                |
|             |              |  |  |  |  |                |              |                               |                 |              |  |                    |                     |  |                          |            |                        | ×        |                   |  | \$1 - \$200 =  | _                                  | 11 7                           |
|             |              |  |  |  |  |                |              |                               |                 |              |  |                    | L                   |  |                          |            |                        |          |                   |  | \$201 – \$1,000 <b>=</b>   | ] }                                | 112                            |
|             |              |  |  |  |  |                |              |                               |                 |              |  |                    |                     |  |                          |            |                        |          |                   |  | \$1,001 - \$2,500 <  | Ĭ                                  | 115                            |
|             |              | T  |  |  | Τ  | T              | Ţ            | Т                             | T               | Ī            |  |                    | Ī                   | T  |                          | T          |                        |          | T                 |  | \$2,501 - \$5,000 <  | BLOCK D Amount of Income           |                                |
|             |              |  | 1  |  |  | 1              |              | T                             | T               |              |  |                    |                     |  |                          |            |                        |          | 1                 | 1  | \$5,001 - \$15,000 <b>≤</b>  | ELOCK                              | <b>∐</b> ∧                     |
| <u> </u>    |              |  | T  | Ť  | T  | 1              | 1            | 1                             |                 | 1            | 1  |                    |                     | 1  | 1                        |            | 1                      | 1        | $\top$            |  | \$15,001 - \$50,000  | <b> </b>                           |                                |
|             |              | Γ.   | 1  |  | 1  | 1              | 1            | T                             |                 | 1            |  |                    | 1                   | 1  |                          |            | 1                      |          |                   | 1  | \$50,001 - \$100,000   | 8                                  | Keadin                         |
|             |              | <del>                                     </del> | †  | †  | 1  | †              | 1            | $\top$                        | $\top$          | 1            | $\vdash$   |                    | 1                   | <del>                                     </del> | 1                        | 1          |                        | 1        | 1                 | †  | \$100,001 - \$1,000,000 😾  | [                                  | 1 2.                           |
|             |              | 1  | †  | T  | 1  | 1              | 1            | T                             | †               | 1            | $\top$   | 1                  |                     | †  | 1                        |            |                        | T        | †                 | 1  | \$1,000,001 - \$5,000,000 ×  |                                    |                                |
|             | 1            | ✝  | 1  | 1  | t  | †              | 1            |                               | $\top$          | †            | 1  |                    |                     | 1  | 1                        |            |                        | 1        | 1                 | <del>                                     </del> | Over \$5,000,000 ≚   | 1                                  |                                |
|             |              |  |  |  |  |                |              |                               |                 |              |  |                    |                     |  |                          |            |                        |          | •                 | P. Supartiel                                     | M (0 7   | BLOCK E Transaction                |                                |

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. SCHEDULE III — ASSETS AND "UNEARNED" INCOME Continuation Sheet (if needed)

Name William Richard Kraai

Page 6 of 11

| aix lme      | Charles Schwab Cord | General Electric Co | AT+T Inc | Mass Deferred Comp In come Fond | TROOF Trice Ston dived hand | 700  | _        | Bright St. | Intractional Equity Find  | Sign (sterostross) Indix | Life Inc | 4 Most a ville sold to                           | Alm bythe action) Growth | Aim Charter Fund                                 | Cutiens Bunk Dosit C. | First Horizon National Corp                      | Proctor and Bamble | 7        | Madery hypudiation Co | Wasykh Miero Corp Found | SP.<br>DC,<br>JT   | Asset and/or Income Source |
|--------------|---------------------|---------------------|----------|---------------------------------|-----------------------------|--|----------|------------|---|--------------------------|----------|--|--------------------------|--|-----------------------|--|--------------------|----------|-----------------------|-------------------------|--|----------------------------|
|              |                     |                     | $\vdash$ |                                 | +                           | -  |          | +-         | ╅   |                          |          | <del>                                     </del> |                          | <del>                                     </del> |                       |  | -                  | -        |                       | +                       | None >   | Ï                          |
| ×            |                     |                     | -        |                                 | $\top$                      | 1  | <b>†</b> |            | <del>                                     </del>  |                          | ×        | <del>                                     </del> | <u> </u>                 | <del>                                     </del> |                       | <del>                                     </del> |                    | 7        | ×                     | $\top$                  | \$1 - \$1,000 œ  |                            |
|              | ×                   | ×                   | ><       | 1                               | ×                           | <del>                                     </del> | 1.       | ×          | †   | ×                        | $\top$   | ×  | y                        | У  | ×                     | У  | 34                 | -        | -                     | ×                       | \$1,001 - \$15,000   | 1                          |
|              |                     |                     |          |                                 |                             |  | X        |            | 4   |                          |          | 1  |                          |  |                       | 1  |                    | $\top$   |                       |                         | \$15,001 - \$50,000  | <b> </b> ≤                 |
|              |                     |                     |          |                                 | 1                           | ×  |          |            | $T^-$   |                          | 1        |  |                          | <u> </u>   |                       |  | 1                  |          |                       | 1                       | \$50,001 - \$100,000 m   | Year-End<br>Value of Asset |
|              |                     |                     |          | ×                               |                             |  |          |            |   |                          |          |  |                          |  |                       |  |                    |          |                       |                         | \$100,001 - \$250,000  | Year-End<br>ue of As       |
|              |                     |                     |          |                                 |                             | <u> </u>   |          |            |   |                          |          |  |                          |  |                       |  |                    |          |                       |                         | \$250,001 – \$500,000 ଦ  | 2 4                        |
|              |                     |                     |          | <u> </u>                        |                             |  | 1        |            |   | ļ                        |          | <u>L</u>   |                          |  |                       |  |                    |          |                       |                         | \$500,001 - \$1,000,000 <sup>±</sup>   | SS                         |
|              |                     |                     |          |                                 |                             |  |          |            |   |                          |          |  |                          | <u> </u>   |                       |  |                    |          |                       |                         | \$1,000,001 - \$5,000,000 -  | 2                          |
|              |                     |                     |          |                                 | <u> </u>                    |  |          |            |   | <u> </u>                 |          |  |                          |  | <u> </u>              |  | <u> </u>           | <u> </u> |                       |                         | \$5,000,001 - \$25,000,000 -   | [                          |
|              |                     | <u> </u>            |          |                                 |                             |  |          |            | $oldsymbol{igstyle igstyle igytyle igstyle igytyle igstyle igytyle igytyle igytyle igytyle igytyle igytyle igstyle igytyle igytyle$ | <u> </u>                 |          | <u> </u>   |                          | L  |                       | <u> </u>   |                    |          | <u> </u>              | 1                       | \$25,000,001 - \$50,000,000 조  |                            |
|              |                     |                     |          |                                 | <u> </u>                    |  |          | <u> </u>   | <u></u>   |                          |          |  |                          |  |                       |  |                    | <u>L</u> |                       |                         | Over \$50,000,000  |                            |
| 4            |                     | ×                   |          |                                 | ×                           | ×  | ×        | X          | Y   | ン                        | <u></u>  | Y  | ×                        | <u> </u>   | 7                     |  |                    | ×        | >                     | ×                       | NONE   |                            |
|              | ×                   |                     | ×        |                                 |                             |  |          |            |   |                          | ×        | <u> </u>   |                          | ×  |                       | У  | メ                  |          |                       |                         | DIVIDENDS  | 1                          |
|              |                     |                     |          |                                 |                             |  |          |            |   |                          |          |  |                          |  |                       | <u>L</u>   |                    |          | L.,                   |                         | RENT   |                            |
| $\perp$      |                     | ļ                   | <u> </u> | ×                               | ļ                           | <u> </u>   |          | ļ          | L_  | <u> </u>                 | ļ        |  | <u> </u>                 | <u> </u>   |                       |  |                    |          |                       | <u> </u>                | INTEREST   | o <u>ş</u>                 |
|              |                     |                     |          | <u> </u>                        | ļ                           |  |          | ļ          | ļ   | <u>.</u>                 | ļ        |  |                          | L  |                       |  | ļ                  |          |                       | <u> </u>                | CAPITAL GAINS  |                            |
| _            |                     |                     |          | <u> </u>                        | $oxed{oxed}$                | <u> </u>   | ļ        |            | <u> </u>  |                          |          |  |                          |  | <u> </u>              | ļ  |                    | L        | ļ                     | L                       | EXCEPTED/BLIND TRUST   | Type<br>Incor              |
|              | į                   |                     |          |                                 |                             |  |          |            |   | ,                        |          |  |                          |  |                       |  |                    |          |                       |                         | Other Type of Income<br>(Specify: e.g.,<br>Partnership Income or<br>Farm Income)   | ne                         |
| ×            |                     | X                   |          | X                               | ×                           | ×  | ×        | ×          | ×   | ×                        |          | ×  | ×                        | X  | γ                     | ×  | У                  | ×        | ¥                     | *                       | None -   |                            |
|              | ×                   | `                   | ×        |                                 | ·                           |  | ļ        |            |   |                          | Х        |  |                          |  |                       |  |                    |          |                       |                         | \$1 - \$200 =  |                            |
| _            |                     |                     |          |                                 | L                           |  |          | <u></u>    |   |                          |          |  |                          |  |                       |  |                    |          |                       |                         | \$201 - \$1,000  | A                          |
| _            |                     |                     |          |                                 |                             |  | Ĺ        |            |   |                          |          |  |                          |  |                       |  |                    |          |                       |                         | \$1,001 - \$2,500 <b>&lt;</b>  | ē                          |
| 1            |                     |                     |          |                                 |                             |  |          | <u>L</u> . |   |                          |          |  |                          |  |                       |  |                    |          |                       |                         | \$2,501 - \$5,000 <  | ₹                          |
| _            |                     |                     |          |                                 |                             |  |          |            |   |                          |          |  |                          |  |                       |  |                    |          |                       |                         | \$5,001 - \$15,000 ≤   | nt of Ir                   |
| _            |                     |                     |          |                                 |                             | <u> </u>   | ļ        | ļ          |   |                          |          |  |                          |  |                       | <u></u>  |                    |          |                       |                         | \$15,001 - \$50,000 \(\leq\) \(\ | Amount of Income           |
| _            |                     |                     |          |                                 |                             |  | ļ        |            |   |                          | L        |  |                          |  |                       |  |                    |          |                       |                         |  | ğ                          |
| $\downarrow$ |                     |                     |          |                                 | <u> </u>                    |  |          | <u> </u>   |   |                          |          |  |                          |  |                       |  |                    |          |                       |                         | \$100,001 - \$1,000,000 \$   | ne                         |
| $\downarrow$ |                     |                     |          |                                 |                             |  |          | <u> </u>   |   |                          |          | L  |                          |  |                       |  |                    |          |                       |                         | \$1,000,001 - \$5,000,000  |                            |
|              | _                   |                     |          |                                 |                             |  |          |            |   |                          |          |  |                          |  |                       | <u> </u>   |                    |          |                       |                         | Over \$5,000,000   |                            |
|              |                     |                     |          |                                 |                             |  |          |            |   |                          |          |  |                          |  |                       |  |                    |          |                       |                         | m  | Transaction                |

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William Richard Coaring

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| ノヤト           | Tair of theme Man Estate Tone                    |   | of Partners Fo | KACTANYX     | ;<br>75,<br>25, | Alliant OSS OPPORTUNITA | Alliant USS OFFITTING FONA                       | Thompson Mumb Funds |                |         |   |        |               |         |              |          |   |         |          |          |  |         |                  |
|---------------|--|---|----------------|--------------|-----------------|-------------------------|--|---------------------|----------------|---------|---|--------|---------------|---------|--------------|----------|---|---------|----------|----------|--|---------|------------------|
|               | $\vdash$   |   |                | +            |                 | +                       | -  | ×                   |                |         |   |        | $\dashv$      |         | +            | -        |   |         | +        | <u> </u> |  | +       | <br>-            |
| <b>-</b>      | ┼┈   |   | -              | +            |                 | +                       | <del>                                     </del> |                     | $\neg \dagger$ |         |   |        |               | +       | +            | +        |   | -       | +        | -        |  | +       | <br>-            |
| ×             | -  |   |                | +            |                 |                         | ×  |                     |                |         |   |        |               |         | +            | $\top$   |   |         | $\top$   |          |  | +       | <br>             |
|               | *  | У | >-             | >            | ×               | 1                       | <u> </u>   |                     |                |         |   | 1      | -             |         | 7            | †-       |   |         |          |          |  | +       | <br>             |
|               | <del> </del> —                                   | - |                |              |                 | ╁                       | $\vdash$   |                     |                |         |   |        | $\rightarrow$ |         | 7            | $\vdash$ | - |         | 1        | }        |  | 1       |                  |
|               | +-   |   |                | +            |                 | +-                      |  |                     |                |         |   |        |               | 1       | 1            | +-       |   |         | <b>†</b> | <u> </u> |  | Ť       | <br>{            |
|               | <del>                                     </del> |   |                | †            |                 | $\top$                  |  |                     | _†             |         |   |        | 7             |         | 7            | 1        |   | _       | $\top$   |          |  | 1       |                  |
|               | 1  |   |                | _            |                 | Ť                       |  |                     |                |         |   |        | _             | _       |              | <b>†</b> |   |         |          |          |  | 1       |                  |
|               |  |   |                |              |                 | $\top$                  | <u> </u>   |                     |                |         |   |        |               |         | 7            |          |   |         | 1        | <u> </u> |  | +       |                  |
|               | 1  |   |                | 1            |                 |                         |  |                     |                |         |   |        |               |         | 1            | 1        |   | 1       |          |          |  | +       | <br>             |
|               | 1  |   |                |              |                 |                         |  |                     |                |         |   |        |               |         | 7            | 1        |   |         | $\top$   |          |  |         |                  |
|               |  |   |                |              |                 | $\top$                  |  |                     |                |         |   |        | 7             |         |              | <u> </u> |   |         |          |          |  | T       | <br>-            |
| ××            |  |   |                |              |                 |                         | ス  | ¥                   | •              |         |   |        |               |         |              |          |   |         |          |          |  | 1       |                  |
|               | ×  | * | ×              | ×            | ¥               | _                       |  |                     | _              |         |   |        | 7             |         | 1            | +        |   | 7       |          |          |  | +       |                  |
|               | +=-  |   |                | +            |                 | $\dagger$               |  |                     | _              |         |   |        | _             |         | T            | †        |   | _       | $\top$   |          |  | +       | <br>1            |
| -   -         | <del>                                     </del> |   |                | <del> </del> |                 | $\dagger$               |  |                     |                |         |   | $\neg$ |               | _       | 1            | -        |   | _       | $\top$   |          |  | 1       | <br>1            |
|               | 1  |   |                |              |                 | 1                       |  |                     |                |         |   |        | _             |         | 1            | <b>†</b> |   |         |          |          |  | 1       | <br>7            |
|               | 1  |   |                |              | Ì               |                         |  |                     |                |         |   |        |               |         |              |          |   |         |          |          |  | +       |                  |
|               |  |   |                |              | -               |                         |  |                     |                |         |   |        |               |         |              |          |   |         |          |          |  |         |                  |
| $\sim$ $^{3}$ |  |   |                |              |                 |                         | ×  | ×                   |                |         |   |        |               |         |              |          |   |         |          |          |  |         |                  |
|               |  | א |                |              | 쏘               | د                       |  |                     |                |         |   |        |               |         |              |          |   |         |          |          |  |         |                  |
| <b>&lt;</b>   | -4   |   | ×              | ×            |                 | $\perp$                 |  |                     |                |         |   |        | $\perp$       |         | _Ĺ           | L_       |   |         | _        |          |  | $\perp$ |                  |
|               | <u> </u>   |   | \              |              |                 | <u> </u>                |  |                     |                |         |   |        |               |         |              | <u> </u> |   | _       |          |          |  |         |                  |
|               |  |   |                |              |                 |                         |  |                     |                | $\perp$ |   |        |               |         | 1            |          |   |         |          |          |  |         | <br>1            |
|               | <u> </u>   |   |                |              | _               | 1                       |  |                     |                |         |   |        |               |         | 1            |          |   | _       |          |          |  | L       | <br>1            |
|               |  |   |                |              |                 | L                       |  |                     |                |         |   |        |               |         | $\perp$      | <u> </u> |   | _       | -        |          |  | $\perp$ |                  |
|               |  |   |                | _            |                 | $\downarrow$            |  |                     | $\perp$        | _       |   |        | _             |         |              | <u></u>  |   | $\perp$ | _        |          |  | $\perp$ |                  |
|               | Ľ.   |   |                | _            |                 | 1                       |  |                     |                |         |   | _      | _             | $\perp$ | $\downarrow$ |          |   |         | <u> </u> |          |  |         | <br>$\downarrow$ |
|               | <u> </u>   | . |                | 1_           |                 | 1                       |  |                     |                |         | _ | _      | _             | $\perp$ | $\downarrow$ |          |   | _       | _        |          |  | $\perp$ | <br>_            |
|               |  |   |                | 1            |                 | <u> </u>                |  | _                   |                |         |   |        |               |         |              |          |   |         | <u> </u> |          |  |         |                  |
|               |  |   |                |              |                 |                         |  | S                   |                |         |   |        |               |         |              |          |   |         |          |          |  |         |                  |

## **SCHEDULE IV— TRANSACTIONS**

Name William Richard Kanting

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| <br>_ |    | т | <del></del> | 1   |         |                               | ı  |   |  |  | _   |  | _   | _   | 75   |  |   |   |
|-------|----|---|-------------|-----|---------|-------------------------------|--|---|--|--|---|--|---|---|--|--|---|---|
|       |    |   |             |     | ļ.      | 5000                          | 529  | Fiduly 2009 529 Bortfolio   | Fidulty 2009 529 Purtfolio   | •  |   | Thompson Flumb Fonds   | Fidelity 2009 College Purtly lio  | SP Example: Mega Corporation Common Stock (partial sale)  | SP, DC, JT Asset   | ates rental income. If only a portion of an asset is sold, please so Indicate ( <i>i.e.</i> , "partial sale"). See example below.  Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.   | resulted in a capital loss. Provide a brief description of any exchange trans-<br>action. Exclude transactions between you, your spouse or dependent chil-<br>dren or the nurchase or sale of your personal residence, unless it gener-   | Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that   |
| -     |    |   |             |     |         |                               |  | ×   |  | ×  |   |  |   |   |  | PURCHASE   |   | of Tra  |
|       |    |   | ļ           |     |         | ×                             | ×  |   | *  |  | ×   | יג   | ×   | ×   |  | SALE   |   | Type of Transaction   |
|       |    |   |             |     |         |                               |  |   |  |  |   |  |   |   |  | EXCHANGE   |   | tion  |
|       |    |   |             |     |         |                               |  |   |  |  |   |  |   |   |  | Check Box if (<br>Gain Exceede   | Capital<br>ed \$200   | )   |
|       |    | · |             |     | 1/29/10 | 12/22/10                      | 8117110  | 8/16/10   | 8/16/10  | 814/10   | 8/16/10   | Thelio   | 3/18/10   | 10-12-10  |  | Quarterly,<br>Monthly, or<br>Bi-weekly, if<br>applicable   | (MO/DAY/YR)   | Date  |
| ·     |    |   |             |     |         |                               |  | ,   |  |  |   | ×  | ·   |   |  | \$1,001-<br>\$15,000   | >   |   |
| <br>  |    |   |             |     | ×       | ×                             | ×  |   |  | ×  | ×   |  | ×   | ×   |  | \$15,001-<br>\$50,000  | 00  |   |
|       |    |   |             |     |         |                               |  | אַ  | <b>;</b> ×   | ,  |   |  |   | ì   |  | \$50,001-<br>\$100,000   | ဂ   | Am  |
|       |    |   |             |     |         |                               |  |   |  |  |   |  |   |   |  | \$100,001-<br>\$250,000  | D   | oun   |
|       |    |   |             |     |         |                               |  |   |  |  |   |  |   |   |  | \$250,001-<br>\$500,000  | m   | of 1  |
| <br>  |    |   | ļ           |     |         |                               |  |   |  |  |   |  |   |   |  | \$500,001-<br>\$1,000,000  | П   | Amount of Transaction   |
| ł     |    |   |             | [   |         |                               |  |   |  |  |   |  |   |   |  | \$1,000,001-<br>\$5,000,000  | ୍ଦ ଜ  | ă   |
|       |    | ļ | ļ           | · · |         |                               | <del></del>  | <del> </del> -  |  |  | <del> </del>  | ·  | +   | _   |  |  |   | <b>:</b> □  |
|       |    |   |             |     |         |                               |  |   |  |  |   |  |   |   |  | \$5,000,001-<br>\$25,000,000   | I   | tion  |
|       | 2. |   |             |     |         |                               |  |   |  |  |   |  |   |   |  |  | I -   | tion  |
|       |    |   |             |     |         | Zoob Congr. Ranklino  1/en/10 | 2009 529 Conservation Roctfelio X 12/22/10  †) 2006 College Boothlio 1/20/10 | 1 2001 529 2009 529 Conservation Particle  †) 2006 Configuration  †) 2006 Configuration    1/21/20/10 | 1, 2004 529  X 2001 529  Conservative Pertholio  X  Pliplio  X  2009 529  Conservation Porthilio  X  1/2/12/10  X  1/2006 College Rantholio  X  1/2006 X | Costage Pertitio X Pliblio X 2009 529 Pertitio X Pliblio X Pliblio X Pliblio X Pliblio X Pliblio X 2009 529 Pertitio X Pliblio X 1/22010 X 1/22010 X 1/22010 X | 2004 S29 Portalio X Philips 1 2004 S29 Portalio X 2004 S29 Portalio X 2004 S29 Portalio X 2009 S29 Portalio X 2009 S29 Portalio X 2009 S29 Portalio X 2009 S29 Portalio X 1/201100 X 1/201100 X | 2006 Conservative Parthilio X  2007 529  2009 529  2009 529  2009 529  2009 529  2000 629 Parthilio X  2000 629  2000 7  200 | See Plub Ends  2006 Calles Porthio X  2006 Calles Porthio X  2007 S21  2007 S21  Conservative Parthio X  2007 S21  Conservative Parthio X  2009 S21  Conservative Parthio X  10212210 X  112010 X  112010 X  112010 X | 2009 Callegy Partholio  See Jumb Finds  3 7 7/100/10 X  2006 Collegy Partholio  2007 Set Ortholio  Conservative Partholio  X 2004 Set Ortholio  X 2009 Set Ortholio  X 1201100 X  11212100 X  1121100 X  11212100 X | Fidehty 2009 College Partilio X  Fidehty 2009 College Partilio X  Fidehty 2006 College Partilio X  Fidehty 2006 College Partilio X  Fidehty 2006 College Partilio X  Fidehty 2007 S29  Fidehty 2 | Fidelity 2009 Calley Portly 1:0 X  Fidelity 2009 Calley Portly 1:0 X  Thimpsen Flimb Ends  Fidelity 2006 Calley Portly 1:0 X  Fidelity 2009 529  Fidelity 2 | informer in only a person of an asset is soid, please so indi- "partial sales). See example below.  Asset  Example: I large Composition resulted in a capital gain in access heart the "capital gains" box and discloses this moome on Somedule  Example: I large Composition Common Stock (partial sale)  Fields: Y 2009 Cally Partial i  The price of S21  Fields: Y 2009 Cally Partial i  Y 2009 Cally Partial | The purchase or said of your personal residence, unless it generic income it may a post description of any access or the purchase or said of your personal residence, unless it generic income; if may a post personal residence, unless it generic income; if may a post personal residence, unless it generic income; if may a post personal residence, unless it generic income; if may a post personal residence, unless it generic income; if may a post personal residence, unless it generic income; if may a post personal residence, unless it generic income; if may a post personal residence, unless it generic income; if may a post personal residence in a capital gain in excess income on Schedula; and the personal residence in a capital gain in excess income on Schedula; income; income on Schedula; income on |

#### SCHEDULE V— LIABILITIES

Name William Richard Keating

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| <del></del> _ | <del></del>                         | <del></del> _   |
|---------------|-------------------------------------|---|
|               |                                     | JT CSP,   |
|               | Example:                            |   |
|               | First Bank of Wilmington, DE        | Creditor  |
|               | May 1998                            | Date Liability Incurred Mo/Year   |
|               | Mortgage on 123 Main St., Dover, DE | Type of Liability   |
|               |                                     | \$10,001-<br>\$15,000   |
|               |                                     | \$15,001-<br>\$50,000   |
|               |                                     | \$50,001-<br>\$100,000  |
|               | ×                                   | \$100,001-<br>\$250,000   |
|               |                                     | \$250,001- m S  |
|               |                                     | \$100,001-<br>\$250,000 m<br>\$250,001-<br>\$500,001-<br>\$1,000,001-<br>\$5,000,001-<br>\$5,000,001-<br>\$5,000,001-<br>\$5,000,001-<br>\$5,000,001- |
|               |                                     | \$5,000,000   |
|               |                                     | \$25,000,000 <b>\$25,000,001</b> -  |
|               | 1                                   | \$50,000,000<br>Over  |
|               |                                     | \$50,000,000  |

#### **SCHEDULE VI— GIFTS**

**Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

| Source  | Description  | Value |
|---|--|-------|
| Example: Mr. Joseph H. Smith, Anytown, Anystate | Silver Platter (determination on personal friendship received from Committee on Standards) | \$345 |
|   |  |       |
|   |  |       |
|   |  |       |
|   |  |       |
|   |  |       |

| Name    |
|---------|
| William |
| Pichall |
| easing  |

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## SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

or were paid by you and reimbursed by the sponsor. the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and

spouse or dependent child that is totally independent of his or her relationship to you. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a

Examples: Chicago Chamber of Commerce Roycroft Corporation Aug. 6-11 Date(s) Mar 2 City of Departure—Destination— City of Return DC—Los Angeles—Cleveland DC—Chicago—DC Lodging? (Y/N) z ≺! (Y/N) z Member Included? (Y/N) Was a Family Z Number of days <u>not</u> at sponsor's expense 2 Days None

#### SCHEDULE VIII—POSITIONS

Name William Richard Kesterry

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organization, or any educational or other institution other than the United States. proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner,

zations); and positions solely of an honorary nature. Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organi-

|  |  | Diractor            | Dinecteu                       | Position             |
|--|--|---------------------|--------------------------------|----------------------|
|  |  | Sharin Credit Union | Norsalk Advocates for Children | Name of Organization |

#### SCHEDULE IX—AGREEMENTS

employee welfare or benefit plan maintained by a former employer. government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of

| <del></del>        |   | - | _           | -               | 7 |
|--------------------|---|---|-------------|-----------------|---|
| Date               |   |   |             |                 |   |
|                    | į |   |             |                 |   |
| Parties To         |   |   |             |                 |   |
| 0                  |   |   |             |                 |   |
| *                  |   |   |             |                 |   |
|                    |   |   | -<br>-<br>- |                 |   |
| Terms of Agreement |   |   |             |                 |   |
| ement              |   |   |             | all Appropriate |   |
|                    |   |   |             |                 |   |